Form for Fresh Nomination / Change of Existing Nomination

LIC MUTUAL FUND

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders

Please read the instructions carefully before filling up this form

Name of 1st Holder	Mr./Ms	FIRST	MIDDLE	LAST
Name of 2nd Holder	Mr./Ms	FIRST	MIDDLE	LAST
Name of 3rd Holder	Mr./Ms	FIRST	MIDDLE	LAST

I/We, the above-named investor/s of LIC Mutual Fund, do hereby nominate the person(s) more particularly described hereunder to receive the Units held in my/our Folio/s listed below in the event of my / our death by cancelling the nomination(s) made by me / us previously in respect of the units held by me/us in the Folio/s listed below.

Sr.#	Folio No / Application No.
1	
2	
3	

01. NOMINEE DETAILS

Name of the 1st Nominee* FIRST MIDDLE LAST

Share of Nominee (%) (mandatory):

Relationship with Applicant (mandatory)

Mobile Number (mandatory):

Email (mandatory):

Identity Number (PAN / Driving Licence / Last 4 Digit of Aadhar / Passport) (mandatory):

Date of Birth (in case nominee is a minor) (mandatory) D D M M Y Y Y Y

Guardian Name (in case nominee is a minor):

Postal Address (mandatory):

City: **State:** **PIN**

Name of the 2nd Nominee* FIRST MIDDLE LAST

Share of Nominee (%) (mandatory):

Relationship with Applicant (mandatory)

Mobile Number (mandatory):

Email (mandatory):

Identity Number (PAN / Driving Licence / Last 4 Digit of Aadhar / Passport) (mandatory):

Date of Birth (in case nominee is a minor) (mandatory) D D M M Y Y Y Y

Guardian Name (in case nominee is a minor):

Postal Address (mandatory):

City: **State:** **PIN**

Name of the 3rd Nominee* FIRST MIDDLE LAST

Share of Nominee (%) (mandatory):

Relationship with Applicant (mandatory)

Mobile Number (mandatory):

Email (mandatory):

Identity Number (PAN / Driving Licence / Last 4 Digit of Aadhar / Passport) (mandatory):

Date of Birth (in case nominee is a minor) (mandatory) D D M M Y Y Y Y

Guardian Name (in case nominee is a minor):

Postal Address (mandatory):

City: **State:** **PIN**

02. NOMINEE INFORMATION ON STATEMENT OF HOLDING

I / we want the details of my / our nominee to be printed in the statement of account, provided to me/us by the AMC as follows: Please tick (✓)

☐ Name of nominee(s) **OR** ☐ Nomination: Yes/No

OR

☐ **I/WE DO NOT WISH TO NOMINATE**

FOR OPTING OUT: I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio.

03. SIGNATURES & DECLARITIONS

I/We have read and understood the instructions on nomination given below/overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

Name(s) of holder(s)	Name	Signature as per mode of holding / Thumb Impression	Signature of Two Witness	Name of witness & Address (Wherever applicable)*
Sole/First Holder (Mr./Ms.)		⊗	⊗	
Second Holder (Mr./Ms.)		⊗	⊗	
Third Holder (Mr./Ms.)		⊗	⊗	

*(Required if the account holder uses a thumb impression instead of a wet signature)

04. ADDITIONAL CONDITIONS (IF APPLICABLE)

Joint Accounts - Transmission of Assets

Scenario	Description
Transmission of Account / Folio to Demise of One or More Joint Holders	Surviving holder(s) through name deletion: The surviving holder(s) shall inherit the assets as owners.
Demise of All Joint Holders Simultaneously - Having Nominee	Nominee: The Nominee will receive the assets.
Demise of All Joint Holders Simultaneously - Not Having Nominee	Legal heir(s) of the youngest holder: The assets will be inherited by the legal heir(s) of the youngest holder

Instructions:

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio of a Minor unitholder.
- If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
- In case of nomination is made for LICMF Unit Linked Insurance Scheme only one nomination can be registered.
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s).
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
- Nomination ensures all rights and/or amount(s) payable in respect of the holdings in Schemes of LIC Mutual Fund would vest in and be transferred to the nominee upon death of the Unit holder. The nominee receives the units only as agent and trustee for the legal heirs or legatees as the case may be. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future.
- Nomination by a unit holder shall be applicable for investments in all schemes in the folio or account
- Every new nomination for a folio/account will overwrite the existing nomination. Nomination will be subject to the provisions of the Scheme Information Document
- Nomination shall be mandatory for all new folio's/accounts except jointly held folio's. However, investors who do not wish to nominate must sign separately confirming their non intention to nominate. In case nomination/non-intention to nominate is not provided by Individual (with sole holding), the application is liable to be rejected.
- The nomination can be made only by individuals applying for/ holding units on their own behalf singly or jointly. Karta of Hindu undivided family, holder of Power of Attorney cannot nominate.
- Nomination shall not be allowed in a folio held on behalf of a minor. In case a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint".
- Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units. Transfer of units in favour of Nominee(s) shall be valid discharge by the AMC against the legal heirs.
- Cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee(s).
- Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.
- Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.
- Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor, as illustrated in Nomination Form.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	**–**	**40%**	**60%**	**100%**

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